

PTO/SB/21 (08-03)

	Application Number	09/432,503
TRANSMITTAL	Filing Date	November 2, 1999
FORM	First Named Inventor	Cech, Thomas R.
for all correspondence after initial filing)	Art Unit	1652
	Examiner Name	Delia M. Ramirez
er of Pages in This	Attorney Docket Number	015389-002611US

FORM					
		First N	lamed Inventor	Cech, Tho	omas R.
(to be used for all correspondence after i	nitial filing)	Art Un	it	1652	
		Exami	ner Name	Delia M. Ramirez	
Total Number of Pages in This Submission		Attom	ey Docket Number	015389-00	02611US
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Fee Attached	Licens	ing-relate	ed Papers	Appea and in	Il Communication to Board of Appeals terferences
Amendment/Reply	Petitio	1		Appea Notice,	l Communication to Group (Appeal Brief, Reply Brief)
After Final	Provisi	n to Conv onal App	lication	Proprie	etary Information
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☐ Information Disclosure Statement			•	3	·
Certified Copy of Priority Document(s)	Rema	rks	The Commissioner is Account 20-1430.	authorized to	charge any additional fees to Deposit
Response to Missing Parts/ Incomplete Application				•	
Response to Missing Parts under 37 CFR 1.52 or 1.53			·		
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Individual Scott L Ausenh	us C	\	Reg. N	o. 42,271	
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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

APRIL 9,2001

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Geron Corporation

Application No.: 09/432,503

Filed: November 2, 1999

For: HUMAN TELOMERASE

CATALYTIC SUBUNIT

Examiner: Delia M. Ramirez

Art Unit: 1652

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

Applicants also wish to make the Examiner aware of the following co-pending patent applications:

- U.S. Patent App. No. 09/721,477, filed 11-22-2000, Applicant Cech et al., Attorney Docket No. 015389-002914US:
- U.S. Patent App. No. 09/721,506, filed 11-22-2000, Applicant Cech et al., Attorney Docket No. 015389-002916US: and
- 3. U.S. Patent App. No. 09/974,584, filed 11-19-1997, Applicant Cech et al., Attorney Docket No. 015389-002950US.

To comply with 37 CFR §1.98(a)(2)(iii), the specifications, drawings and currently pending claims for U.S. Application No. 09/974,584 (Attorney Docket No. 015389-002950) are enclosed. The currently pending claims from U.S. Application Nos. 09/721,477 (Attorney Docket No. 015389-002614US) and 09/721,506 (Attorney Docket No. 015389-002616US) are also enclosed; copies of the specification and drawings for these latter two applications are not enclosed because these applications are the same as this application, U.S. Application No. 09/432,503.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Scott L. Ausenhus Reg. No. 42,271

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 5

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First Named Inventor	Cech, Thomas R.					
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Examiner Name	Delia M. Ramirez					
Attorney Docket Number	015389-002611US					

			U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.1	Document Number Number Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,597,697	01-28-1997	Diamond	
	A2	US-5,917,025	06-29-1999	Collins	
	A3	US-6,309,867 B1	10-30-2001	Cech et al.	
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	A5	US-6,440,735 B1	08-27-2002	Gaeta	
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	A20	U.S. Application No. 09/721,477		Cech et al.	
	A21	U.S. Application No. 09/721,506		Cech et al.	
	A22	U.S. Application No. 09/974,584		Cech et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Cite No.1	Foreign Patent Document			Name of Datasta	Pages, Columns, Lines,	T		
	Country Code ³	Number ⁴	Gnd Code ^s (# <i>known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁰	
	B1	CA	2271718	A1	05-22-1998			
	B2	GB	2 317 891	Α	04-08-1998			⇈
	B3	PCT	WO 93/23572	A1	11-25-1993			
	B4	PCT	WO 94/17210	A1	08-04-1994			
	85	PCT	WO 98/14592	A2	04-09-1998			$\vdash \sqcap$
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	B10	PCT	WO 00/46355		08-10-2000		 	┢

Examiner Signature	Date Considered	
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Applicant's unique citation designation number (optional).

Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	2	of	T5

Complete if Known					
Application Number 09/432,503					
Filing Date	November 2, 1999				
First Named Inventor Cech, Thomas R.					
Art Unit 1652					
Examiner Name Delia M. Ramirez					
Attorney Docket Number	015389-002611US				

		NON PATENT LITERATURE DOCUMENTS	-
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	C1	ADAMS, MARK et al. "Initial Assessment of Human Gene Diversity and Expression Patterns Based Upon 83 Million Nucleotides of cDNA Sequence" <i>The Genome Directory: Supplement to Nature 28 September 1995</i> , 1995, pp. 3-174, Vol. 377, Issue 6547S.	
	C2	ADAMSON, D. et al. "Significant Telomere Shortening in Childhood Leukemia", Cancer Genet. Cytogenet, 1992; pp. 204-206, Vol. 61.	
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	C7	COLLINS, KATHLEEN, "Structure and function of telomerase", Current Opinion in Cell Biology, 1996, pp. 374-380, Vol. 8	
	C8	COUNTER, C. et al. "Telomerase Activity in Normal Leukocytes and in Hematologic Malignancies" Blood; May 1, 1995; pp. 2315-2320, Vol. 85, No. 9.	
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	C10	DE LANGE, T. et al. "Structure and Variability of Human Chromosome Ends"; Molecular and Cellular Biology; February 1990; pp. 518-527, Vol. 10, No. 2.	
	C11	FLAVELL, R. & MATHIAS, R. "Prospects for transforming monocot crop plants", <i>Nature</i> , 12 Jan. 1984, pp. 108-109, Vol. 307.	
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•	C14	Genbank Accession No. Q06163; November 01, 1995	
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Signature	Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 09/432,503 Application Number Filing Date November 2, 1999 First Named Inventor Cech, Thomas R. Art Unit 1652 Examiner Name Delia M. Ramirez Attorney Docket Number 015389-002611US

(use as many sheets as necessary)

Sheet

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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ubstitute for form 1449B/PTO Complete if Known Application Number 09/432,503 **INFORMATION DISCLOSURE** Filing Date November 2, 1999 STATEMENT BY APPLICANT First Named Inventor Cech, Thomas R. Art Unit 1652 (use as many sheets as necessary) Examiner Name Delia M. Ramirez Sheet 015389-002611US Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	τ;
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1	Examiner	Date	
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